

**BRAD SHERMAN
REGULATORY CONFERENCE**
(formerly CARE Conference)
Compliance and Regulatory Education:
Best Practices for Athletic Trainer Regulation



BOC Resources for State Regulators

boc BOARD OF CERTIFICATION
FOR THE ATHLETIC TRAINERS

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AT Regulatory Connect – Your portal to BOC resources

- Certification Verifications
- BOC Regulatory Network
- State Profile
- Disciplinary Action Exchange (DAE)
- Resources
- Regulatory Connect Handout available

AT REGULATORY CONNECT
Supporting Public Protection

Nebraska Dashboard



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Disciplinary Action Exchange (DAE)

- Public repository for disciplinary actions taken by BOC and states
- BOC posts all public disciplinary actions here
- State participation is encouraged but optional
- 14 states currently participating
- Currently separate from BOC public registry but goal to integrate both systems in near future



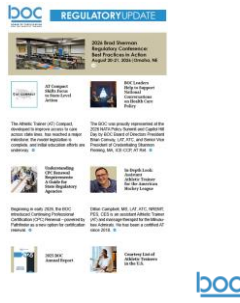
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Regulatory Update

- E-blast to State Regulators
- BOC Updates, AT Highlights, Compact Updates, Courtesy list of ATs
- Twice per year (Spring and Fall)



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State Regulatory Network



- Platform for AT Regulators to connect and share best practices
- 100+ users from 45 states

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Questions???

Visit our State
Regulatory webpage

Contact Shannon or Jason at
StateReg@bocatc.org

<http://www.bocatc.org/state-regulation>



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DISCIPLINE PROCESS

The Disciplinary Action Exchange (DAE) was developed to help the BOC, states and consumers locate disciplinary actions in an efficient manner. The BOC encourages all states to participate in the DAE. The DAE contains final BOC disciplinary actions that have been deemed public, as well as disciplinary actions taken by state regulatory agencies. The following is the disciplinary process:



DISCIPLINARY DOCUMENTATION RECEIVED

- Complaints
 - BOC website, email and mail
- Google alerts
- State notification
 - Disciplinary Action Exchange (DAE), email and mail



DISCIPLINARY CASE OPENED

- Investigation
- Collect documentation
- Review documentation
- Decision
 - Committee reviews case if precedence has not been set
 - Committee meets monthly via conference call



DISCIPLINARY DECISIONS

- Private
 - Discipline is not made public
 - Audit, Private Censure and corrective training
- Public
 - Discipline is posted on the DAE
 - Public Censure, Suspension and Revocation
 - Copy of discipline decision is mailed to state of residence we have on record

To view cases on the DAE, visit BOCATC.org/DAE

To submit cases to the DAE, visit AT Regulatory Connect (at.bocatc.org/users/sign_in), the secure portal for states and click on Submit Disciplinary Action.

The Athletic Trainer (AT) Regulatory Connect portal provides state regulators with secure access to view certification verifications and submit disciplinary actions to the Disciplinary Action Exchange (DAE). We breakdown the helpful features of the AT Regulatory Connect below.



AT REGULATORY CONNECT

Supporting Public Protection

LOGIN - BOCATC.org/ATRegConnect

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Username

Password

☐ Remember me

Log in

User name: state initials + admin (ex/NEadmin)
Password: provided by BOC, 1 password per state

Forgot password? Contact BOC:
Email: ShannonF@bocatc.org or JasonG@bocatc.org
Phone: (402) 559-0091

DASHBOARD

Once logged in, you will see a dashboard with many helpful tools available to state regulators.

Nebraska Dashboard



[Verification
Administration](#)



[BOC Regulatory
Network](#)



[Update State
Profile](#)



[Public Disciplinary
Action Exchange](#)



[Submit
Disciplinary Action](#)



[Resources](#)



VERIFICATION ADMINISTRATION (past 90 days)

You can search for AT certification verification using the BOC certification number, first name and/or last name. Select the “View Verification” to see the “Official Certification Verification” screen below.

Nebraska Verifications

AT Certification Verifications requested for NE within the last 90 days.

Certification # First Name Last Name

[Search](#)

1 2 3 [Next >](#) [Last »](#)

Certification #	Name	Type	Sent	Viewed	Actions
2000026991	Catherine E Lewis	Electronic	3/11/2017 10:55AM	03/20/2017	View Verification
2000018765	Ann E Brandow	Electronic	5/10/2017 8:50PM	05/19/2017	View Verification

Official Certification Verification

The following individual has taken and passed the BOC certification exam and is recognized as a Certified Athletic Trainer in good standing with the BOC.

Credential Verified for:	Catherine E Lewis
Delivered to:	Nebraska
Certification #:	2000026991
Information valid as of:	03/11/2017
Certification Date:	02/28/2017
Expiration Date:	12/31/2019
Sent Date:	03/11/2017

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BOC STATE REGULATORY NETWORK

BOCATC.org/RegNetwork

The AT Regulatory Connect provides you with easy access to the BOC State Regulatory Network. The purpose of the network is to:

- Provide a platform for AT regulators to share best practices
- Assist board staff and regulators develop working relationships
- Obtain guidance and direction from AT regulators in the development of model language
- Facilitate the sharing of disciplinary actions taken by state AT boards and the BOC



UPDATE STATE PROFILE

Login to AT Regulatory Connect to easily update your state profile information.

Request Changes for Nebraska

State Agency Details	Contact Info
Agency Name DHHS - Division of Public Health	Contact First Name Claire
Agency Website http://dhhs.ne.gov/licensure/Pages/Athletic-Training.aspx	Contact Last Name Covert-ByBee
Practice Act http://dhhs.ne.gov/licensure/Documents/Athletic%20Training.pdf	Job Title Program Manager
Rules and Regulations http://www.sos.ne.gov/rules-and-regs/regsearch/Rules/Health_and_Hum	Address 1 Licensure Unit
AT Registry http://www.nebraska.gov/LISearch/search.cgi	Address 2 PO Box 94986



PUBLIC DISCIPLINARY ACTION EXCHANGE

BOCATC.org/DAE-Public

What is the DAE?

The DAE contains final BOC disciplinary actions that have been deemed public, as well as disciplinary actions taken by the state regulatory agencies. The BOC encourages all states to participate in the DAE.

Disciplinary Action Exchange

The Disciplinary Action Exchange (DAE) was developed to help the BOC, states, and consumers locate disciplinary actions in an efficient manner. The BOC encourages all states to participate in the DAE. The DAE contains final BOC disciplinary actions that have been deemed public, as well as public disciplinary actions taken by state regulatory agencies. All actions posted to the DAE have been confirmed as public information.

- If you would like more information in regards to a disciplinary action taken by a state, please contact the [State Regulatory Agency](#).
- If you would like more information in regards to a disciplinary action taken by the BOC, please send an email to StacyA@bocatc.org.

Last Name	First Name	Certification Number	Action Taken By

1 2 3 4 5 ... Next » Last »

Name	Certification Number	Residence	License Number	Action Taken On	Action Taken By	Action(s) Taken	Documentation
Linda Torres Casiano	2000008672	IL		06/09/2017	Board of Certification (BOC)	Suspension	Consent Agreement



SUBMIT DISCIPLINARY ACTION

Follow the four steps below to submit a new disciplinary action.

New Disciplinary Action

1. Select “New Disciplinary Action.” Then, enter the first name, last name and/or certification number and select “Search.”

Disciplinary Actions for NE

[New Disciplinary Action](#)
[What is the DAE?](#)
[Go Back](#)

New Disciplinary Action

Search for the Athletic Trainer for whom you would like to submit a disciplinary action.

Certification Number First Name Last Name

[Search](#) [Go Back](#)

2. Make sure you have the correct AT and click “Submit Action.”

New Disciplinary Action

Search for the Athletic Trainer for whom you would like to submit a disciplinary action.

Certification Number First Name Last Name

Certification Number: 099702426

[Search](#) [Go Back](#)

Name	Certification Number	State	Actions
Shannon L Fleming	099702426	Nebraska	Submit Action

3. Complete the form, upload documentation and click “Submit Disciplinary Action.”

New Disciplinary Action

AT's Full Name
Shannon L Fleming

AT's Certification Number
099702426

Date of Birth

State License Number

State/Province of Residence

Email (of submitter)

Action(s) Taken (hold CTRL to select multiple actions)

- ☐ Application Conditionally Denied
- ☐ Application Denied
- ☐ Censure
- ☐ Corrective Action

Date Action Taken

Link to Public Document

Browse... No file selected.

Document Title

[Submit Disciplinary Action](#)

4. Once submitted, the BOC will review and approve or reject the action.
 - If approved, state will receive an email requesting final approval. Once approved by the state it is posted to the DAE.
 - If rejected, a BOC staff member will contact the state.



RESOURCES - Available for Download

- Regulatory Review - Common Questions/Answers
- Regulatory Review - Comparison Data
- Regulatory Review - BOC Letter
- Guidelines and Considerations for Athletic Trainer Regulatory Language
- MRA Reports
- Courtesy List of Athletic Trainers in the U.S